



# MEMBERS OF SYNDICATE

USE BLOCK LETTERS

	SURNAME	GIVEN NAMES	POSTAL / RESIDENTIAL ADDRESS OF EACH MEMBER	Email	DAYTIME TELEPHONE NUMBER	BIRTH DATE	SIGNATURE
1	*						
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							
20							

\* Note that the first named person will be regarded as the manager for racing purposes. All prize money is paid to one nominated bank account.

## RULES

**Syndicates shall be registered with Harness Racing Victoria subject to the Australian Rules of Harness Racing, Rules 114-118.**

### SYNDICATES

- 114** (1) The connections of a horse may make application to the Controlling Body to register a syndicate in respect of the horse.
- (2) If 11 or more persons own a horse application to register a syndicate shall be made under sub rule (1).
- (3) An application under sub rule (1) shall be made in the manner and form and be accompanied by such documentation, information and fees as the Controlling Body determines.
- (4) The Controlling Body may grant or refuse an application under sub rule (1).
- (5) The Controlling Body may at any time cancel the registration of a syndicate.
- 114A** (1) Application to register a syndicate shall be made on form R114-A.
- (2) Application to renew the registration of a syndicate shall be made on form R114-C.
- 115** (1) A syndicate shall appoint a natural person as its manager.
- (2) An appointment under sub rule (1) can only be made with the approval of the Controlling Body.
- (3) 10 or fewer persons who jointly own a horse shall appoint a natural person as the joint ownership manager.
- (4) A manager appointed pursuant to this rule shall act for and on behalf of the syndicate or joint ownership as the case may be in all harness racing matters and is responsible for ensuring that the obligations of the syndicate or joint ownership arising under these rules are met.
- (5) The Controlling Body may at any time cancel the appointment of a manager of a syndicate.
- 116** (1) The manager shall notify the Controlling Body of any change in the composition of the syndicate within 7 days of the change occurring or prior to the horse next racing whichever is earlier.
- (2) A manager who fails to comply with sub rule (1) is guilty of an offence.
- 116A** Notification of change in the membership of a syndicate shall be made on form R114-B.
- 117** If a member of a syndicate or a party to a joint ownership arrangement is under disqualification a horse owned by the syndicate or in joint ownership as the case may be, cannot be nominated for or start in a race except with the approval of the Controlling Body.
- 118** (1) A person shall not use a syndicate name if the syndicate is not registered under these rules.
- (2) A person shall not assert that he or she is a manager of a syndicate if that person is not appointed as such manager in accordance with these rules.
- (3) A person who fails to comply with any provision of this rule is guilty of an offence.

### **PRIVACY STATEMENT - COLLECTION OF PERSONAL INFORMATION**

*Harness Racing Victoria (HRV) collects personal information from you in this form in order to manage and supervise your participation in the harness racing industry.*

*To do this, we may disclose the personal information, including financial information, to other persons or organisations, including enforcement bodies, State or Federal Government licensing or compliance authorities and other racing control bodies.*

*You do not have to supply the information requested in this form, but if the information (or any part of it) is not provided, your application may be rejected. By completing and submitting the application, and any supporting documentation, you authorise HRV to collect, use and disclose information about you for the purposes described above. In most cases, you can gain access to your personal information and request that corrections be made to it, if necessary.*

*For further information regarding HRV's Privacy Policy refer to our website or contact HRV's Privacy Officer by email [privacyofficer@hrv.org.au](mailto:privacyofficer@hrv.org.au) or by telephone (03) 8378 0200.*